## DOCUMENT VERSIONS

| Version | Modified pages / Remarks |
|---|---|
| **03/09/2020** | First version of the document |
| **07/06/2021** | Second version of the document: Fields 2 and 4 are added to allow intermediaries to be identified with a LEI identifier. Furthermore, the format is updated to version 2: head.001.001.02. |
| **22/11/2021** | Third version of the document: Description of field *Business Service* is modified to add a "SRD2" indicator which allows the identification of a shareholder identification service. |
| **04/05/2022** | The description of the field *Business Service* is modified to include the indicator "PROX". |
| **21/11/2022** | The format is updated to version 3: head.001.001.03. |
| **18/11/2024** | ECMS Project.<br><br>The changes that will be implemented with the entry into force of the ECMS project (Eurosystem Collateral Management System) and the adaptations to the changes agreed within the scope of the CMH-TF (Collateral Management Harmonisation Task Force) are included. |

| Section | Title |
|---|---|
| ISO20022 Messaging | Message header, put before all business messages |

**FORMAT head.001.001.03**

**General description**

It is used to identify general characteristics of the message and delivery. The functions of this message are:

a) Message routing information (identification of the sending and receiving parties).
b) Identify the business message (type of message, date/time of creation, etc.).

An ISO20022 business message together with the header message forms the final message. All ISO20022 business messages that are exchanged between participants, other intermediaries and Iberclear will carry this header.

**Origin/Destination**

**Issuer:**      Participants, other intermediaries, CSD
**Recipient:**   Iberclear

**Issuer:**      Iberclear
**Recipient:**   Participants, other intermediaries, CSD

### Format structure

| | Mult. | Or | Lv | <XML Tag> | Message Item |
|---|---|---|---|---|---|
| | [1..1] | | 0 | <AppHdr> | Business Application Header V03 |
| | [1..1] | | 1 | **<Fr>** | From |
| | [1..1] | | 2 | _<FIId> | Financial Institution Identification |
| | [1..1] | | 3 | __<FinInstnId> | Financial Institution Identification |
| 1 | [1..1] | {Or | 4 | ___<BICFI> | BICFI |
| 2 | [1..1] | Or} | 4 | ___<LEI> | LEI |
| | [1..1] | | 1 | **<To>** | To |
| | [1..1] | | 2 | _<FIId> | Financial Institution Identification |
| | [1..1] | | 3 | __<FinInstnId> | Financial Institution Identification |
| 3 | [1..1] | {Or | 4 | ___<BICFI> | BICFI |
| 4 | [1..1] | Or} | 4 | ___<LEI> | LEI |
| 5 | [1..1] | | 1 | **<BizMsgIdr>** | Business Message Identifier |
| 6 | [1..1] | | 1 | **<MsgDefIdr>** | Message Definition Identifier |
| 7 | [0..1] | | 1 | **<BizSvc>** | Business Service |
| 8 | [1..1] | | 1 | **<CreDt>** | Creation Date |
| 9 | [0..1] | | 1 | **<CpyDplct>** | Copy Duplicate |

### Clarification of fields

1  *Name:*       BIC of Financial Institution Identification
   *Format:*     11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.
   *Content:*    Identification of the sender of the message. In outgoing messaging, BIC of Iberclear will be reported.

2  *Name:*       LEI of Financial Institution Identification
   *Format:*     20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.
   *Content:*    Identification of the sender of the message. For participant entities of Iberclear, a BIC identifier must be informed.

3  *Name*        BIC of Financial Institution Identification
   *Format:*     11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.
   *Content:*    identification of message recipient: In incoming messaging, BIC of Iberclear will be reported.

4  *Name:*       LEI of Financial Institution Identification
   *Format:*     20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.
   *Content:*    Identification of the sender of the message. For participant entities of Iberclear, a BIC identifier must be informed.

5  *Name*        Business Message Identifier
   *Format:*     Up to ~~35~~ 16 alphanumeric positions.
   *Content:*    Unique reference that identifies the message.

6  *Name*        Message Definition Identifier
   *Format:*     Up to 35 alphanumeric positions.
   *Content:*    Identification of the type of message that follows. Business message that follows the head, for example seev.045.

7  *Name*        Business Service
   *Format:*     Up to 35 positions.
   *Content:*    Identification of the type of service.
                 It may contain the following values:
                 **PROX:** Mandatory in the reporting of messages related to the proxy voting service.
                 **SRD2:** Mandatory in the reporting of Seev.045, Seev.046, Seev.047, Seev.048 and Seev.049 messages related to the shareholder identification service.
                 **CORP:** Mandatory in the reporting of messages related to the corporate actions (Seev.031 to Seev.044).
                 **BILL:** Mandatory in the reporting of messages related to the billing (Camt.077).
                 If this field is not completed, it is considered a corporate action communication.

8  *Name*        Creation Date
   *Format:*     ISODateTime:
                 ~~If GMT time:~~ YYYY-MM-DDThh:mm:ss.sssZ.
                 ~~If local time: YYYY-MM-DDThh:mm:ss.sss.~~
   *Content:*    Date and time of creation of the header message.

| 9 | *Name* | Copy Duplicate |
|---|---|---|
| | *Format:* | 4 alphanumeric positions |
| | *Content:* | Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message. It may contain the following values:<br><br>**COPY:** Message is being sent as a copy to a party other than the account owner, for information purposes.<br><br>**CODU**: Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.<br><br>**DUPL**: Message is for information/confirmation purposes. It is a duplicate of a message previously sent. |