

## DOCUMENT VERSIONS

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<u>Version</u>	<u>Pages modified / Observations</u>
03/09/2020	First version of the document
07/06/2021	Second version of the document: Fields 2 and 4 are added to allow intermediaries to be identified with a LEI identifier. Furthermore, the format is updated to version 2: head.001.001.02.
22/11/2021	Third version of the document: Description of field <i>Business Service</i> is modified to add a "SRD2" indicator which allows the identification of a shareholder identification service.

<u>Section</u>	<u>Title</u>
ISO20022 Messaging	Message header, put before all business messages

## **FORMAT head.001.001.02**

### **General description**

It is used to identify general characteristics of the message and delivery. The functions of this message are:

- a) Message routing information (identification of the sending and receiving parties).
- b) Identify the business message (type of message, date/time of creation, etc.).

An ISO20022 business message together with the header message form the final message. All ISO20022 business messages that are exchanged between participants, other intermediaries and Iberclear will carry this header.

### **Origin/Destination**

**Issuer:** Participants, other intermediaries, DCV

**Recipient:** IBERCLEAR

**Issuer:** IBERCLEAR

**Recipient:** Participants, other intermediaries, DCV

## Format structure

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		0	<AppHdr>	Business Application Header V01
	[1..1]		1	<Fr>	From
	[1..1]		2	_<FIId>	Financial Institution Identification
	[1..1]		3	__<FinInstnId>	Financial Institution Identification
1	[1..1]	{Or	4	___<BICFI>	BICFI
2	[1..1]	Or}	4	___<LEI>	LEI
	[1..1]		1	<To>	To
	[1..1]		2	_<FIId>	Financial Institution Identification
	[1..1]		3	__<FinInstnId>	Financial Institution Identification
3	[1..1]	{Or	4	___<BICFI>	BICFI
4	[1..1]	Or}	4	___<LEI>	LEI
5	[1..1]		1	<BizMsgIdr>	Business Message Identifier
6	[1..1]		1	<MsgDefIdr>	Message Definition Identifier
7	[0..1]		1	<BizSvc>	Business Service
8	[1..1]		1	<CreDt>	Creation Date

### Clarification of fields

- 1 *Name:* BIC of Financial Institution Identification  
*Format:* 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.  
*Content:* Identification of the sender of the message. In outgoing messaging, BIC of Iberclear will be reported.
- 2 *Name:* LEI of Financial Institution Identification  
*Format:* 20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.  
*Content:* Identification of the sender of the message. For participant entities of Iberclear, a BIC identifier must be informed.
- 3 *Name:* BIC of Financial Institution Identification  
*Format:* 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.  
*Content:* identification of message recipient: In incoming messaging, BIC of Iberclear will be reported.
- 4 *Name:* LEI of Financial Institution Identification  
*Format:* 20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.  
*Content:* Identification of the sender of the message. For participant entities of Iberclear, a BIC identifier must be informed.
- 5 *Name:* Business Message Identifier  
*Format:* Up to 35 alphanumeric positions.  
*Content:* Unique reference that identifies the message.
- 6 *Name:* Message Definition Identifier  
*Format:* Up to 35 alphanumeric positions.  
*Content:* Identification of the type of message that follows. Business message that follows the head, for example seev.045.
- 7 *Name:* Business Service  
*Format:* Up to 35 positions.  
*Content:* Identification of the type of service. It could be informed with the following indicator:  
**SRD2:** Mandatory in the reporting of Seev.045, Seev.046, Seev.047, Seev.048 and Seev.049 messages related to the shareholder identification service.
- 8 *Name:* Creation Date  
*Format:* ISODateTime:  
If GMT time: YYYY-MM-DDThh:mm:ss.sssZ.  
If local time: YYYY-MM-DDThh:mm:ss.sss.  
*Content:* Date and time of creation of the header message.