

ARCO System. Fees.

Informative Memo 16/2023, June 28th

Iberclear

Junio 2023

Please note that only the Spanish version of this document produces legal effect. Any translation is provided for commercial purposes only.

This informative memo aims to inform participant entities about the codification and the literal description to be used in order to identify in the corresponding invoices the fees charged by Iberclear from the date that the Circular 02/2023, June 27th, enters into force.

RULE		PARTICIPANT FEES	CODE
Circular 03/2017			
2nd Rule	Participation and account maintenance		
2.1	Accounts maintenance		MEC02
2.1	Additional account		MEC03
2.1	Pledged account		MEC05
2.2	Modification of T2S profile		MEA04
3rd Rule	Maintenance of the domestic securities register		
3.8a	Private debt safekeeping		SKC13
3.8a	AIAF safekeeping for Public Treasury		SKC22
3.8a	AIAF safekeeping in USD		SKC15
3.8a	AIAF safekeeping in GBP		SKC16
3.8a	AIAF safekeeping in CHF		SKC17
3.8a	AIAF safekeeping in JPY		SKC18
3.8a	AIAF safekeeping in MXN		SKC19
3.8a	AIAF safekeeping in DKK		SKC20
3.8b	Public debt safekeeping		SKC12
3.8c	Domestic equities safekeeping		SKC01
3.8c	Warrants safekeeping		SKC56
3.8d	ETFs and SICAVs safekeeping		SKC02
3.8e	AIAF safekeeping in physical securities		SKC21
3.8f	Global Notes safekeeping		SKC55
4th Rule	Maintenance of the foreign securities register		
4.3	AR Equities safekeeping		SKA06
4.3	BR Equities safekeeping		SKA07
4.3	AR Debt safekeeping		SKA53
4.3	BR Debt safekeeping		SKA54
4.4	IT Equities safekeeping		SKA33
4.4	FR Equities safekeeping		SKA34

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4.4	NL Equities safekeeping	SKA35
RULES	PARTICIPANT FEES	CODE
Circular 03/2017		
4.4	DE Equities safekeeping	SKA36
4.4	IT Public debt safekeeping	SKA40
4.4	FR Public debt safekeeping	SKA42
4.4	NL Public debt safekeeping	SKA43
4.4	DE Public debt safekeeping	SKA41
4.4	IT Private debt safekeeping	SKA47
4.4	FR Private debt safekeeping	SKA49
4.4	NL Private debt safekeeping	SKA51
4.4	DE Private debt safekeeping	SKA48
4.5	PT Equities safekeeping	SKA39
4.5	AT Equities safekeeping	SKA38
4.5	PT Public debt safekeeping	SKA45
4.5	AT Public debt safekeeping	SKA44
4.5	PT Private debt safekeeping	SKA52
4.5	AT Private debt safekeeping	SKA50
4.6	CH Equities safekeeping	SKA58
4.6	CH Public debt safekeeping	SKA59
4.6	CH Private debt safekeeping	SKA60
4.7	BE Public debt safekeeping	SKA61
4.7	BE Private debt safekeeping	SKA62
4.8	Link entity equities safekeeping	SKA11
4.8	Link entity private fixed income safekeeping	SKA14
4.8	Link entity public debt safekeeping	SKA57
5th Rule	Settlement and related services	
5.1	Free of payment settlement	SEC01
5.1	Delivery versus payment/with payment settlement	SEC02
5.1	Payment free of delivery	SEC06
5.2	Intra-position securities movement	SEC07
5.3	Stripping and reconstitution	SEA25
5.4	Pre-matching validation	SEA23
5.5	Recycling	SEA09
5.6	Automatic cancellation	SEA10

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5.7	Daytime settlement	SEA24
RULES Circular 03/2017	PARTICIPANT FEES	CODE
5.8	RTGS settlement	SEA12
5.9	Not linked auxiliary operations	SEA22
6th Rule	Cross-border settlement	
6.1	Cross-border settlement CBS	SEC27
6.2	Settlement outside T2S	SEC28
6.3	Settlement link entity Equities	SEA30
6.3	Settlement link entity Fixed income	SEA31
6.5	Settlement outside T2S – Market maker	SEC29
7th Rule	Custody of domestic securities	
7.1	Domestic equities corporate action	CAA01
7.2	Market claims	CAA20
7.3	Reversa lof domestic corporate action	CAA10
7.4	Refund of withholdings	CAA13
7.5	Coupon payment paid in gross amount	CAA36
7.6	Quick refund	CAA16
7.7	Standard tax reclaim	CAA18
7.7	Late tax reclaim	CAA19
7.8	Tax voucher	CAA37
7.9	Ballots creation	CAA38
7.10	Proxy voting Spanish Securities	CAA39
8th Rule	Custody of foreign securities	
8.1	Foreign corporate action with instructions	CAA02
8.1	Foreign corporate action with instructions	CAA03
8.2	CA notification CBS	CAA23
8.3	CA settlement CBS	CAA22
8.4	CA instruction CBS	CAA24
8.5	CA late instruction CBS	CAA25
8.5	CA late instructions SIX SIS	CAA42
8.6	Market claim CBS	CAA26
8.7	Proxy voting instruction CBS	CAA27
8.8	Proxy late instruction CBS	CAA28
8.9	Admission ticket issued CBS	CAA29

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8.10	Representation in the meeting CBS	CAA30
RULES Circular 03/2017	PARTICIPANT FEES	CODE
8.11	Tax reclaim CBS	CAA31
8.11	Tax reclaim SIX SIS	CAA43
8.12	Late tax reclaim CBS	CAA32
8.12	Late tax reclaim SIX SIS	CAA44
8.13	Tax voucher CBS	CAA33
8.14	Quick refund CBS	CAA34
8.15	Relief at source CBS	CAA35
8.16	Communication of French FTT declaration	CAA07
8.17	Ballots creation – Foreign Securities	CAA41
8.18	Proxy voting – Foreign securities	CAA40
9th Rule	Specific fees applicable to other Central Securities Depositories	
9.1	Management of optional corporate actions CSD	CAA21
10th Rule	Information and notifications	
10.1	BME-PC users	COA01
10.2	Transmission	COA02
10.3	Custody services messaging	COA03
10.4	Fixed part instructions report	COA04A
10.4	Variable part instructions report	COA04B
10.5	BME-PC information query	COA06
10.6	Fixed part BME-PC download	COA07A
10.6	Variable part BME-PC download	COA07B
10.7	PTI information request	COA05
10.8	SWIFT FIN message	COA09
10.9	Cash reconciliation	COA10
10.10	SWIFT costs PROD	COA11
10.10	SWIFT costs FORM	COA11
10.12	Translation of shareholders messaging	COA13
11th Rule	Other services	
11.1	Manual input of instructions	OTA01
11.2	Issuance certificates	OTC02
11.3	Access to test environment	OTA03
11.4	Periodic and supplementary audit files	OTC04

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11.4	Additional audit due to imbalance	OTC05
RULES Circular 03/2017	PARTICIPANT FEES	CODE
11.5	Subscription to the billing file	OTA09
11.6	Register of securities pledged as collateral	OTC06
11.7	Collateral management	OTA10
11.8	Inclusion/Exclusion of physical securities	OTC13
11.9	Assessment on operations	OTA11
11.9	Assessment of operations – Per hour	OTA12
11.10	Voluntary waiving	OTA14
11.11	Other charges with VAT	OTA07
11.11	Other charges without VAT	OTA08
11.11	Other services CBS	OTA15
11.12	Late communication of HTITU02	OTA16
11.13	Declaration file received and accepted by the AEAT	OTA17
11.13	Validation or acquisition IIC code request	OTA18
11.14	SDR mechanisms management	OTA19
11.14	SDR contingency for unpaid situations	OTA20
12th Rule	Fees for incidents in compliance with information notification obligations to the information system	
12.1	Ratio – Trade ownership incidences	INF04
12.1	Ratio – Corporate action ownership incidences	INF05
12.3	Sessions – Trade ownership incidences	INF09
12.3	Sessions – Corporate action ownership incidences	INF10

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RULES Circular 03/2017		ISSUER FEES	CODE
2nd Rule	Annual fee		
2.1	Annual membership fee for issuers		ISC01
3rd Rule	Register inclusions and exclusions		
3.1	Equities admission		ISC13
3.2	Fixed income admission		ISC21
3.3	Inclusion/Exclusion of warrants		ISC14
3.3	Warrants issuance		ISC44
3.4	Registry of promissory notes program		ISC22
RULES Circular 03/2017		ISSUER FEES	CODE
3.4	Issuance of promissory notes		ISC23
3.4	Total or partial cancellation of promissory notes		ISC24
3.4 bis	Partial nominal increase		ISC30
3.5	Total registry exclusion		ISC02
3.6	Partial registry exclusion		ISC03
3.7	Exclusion due to registry transfer		ISC04
4th Rule	Daily ownership notification service		
4.1	Final beneficiary data yearly fee		ISA05
4.1	Final beneficiary data for nominatives MAB not exempt and not admitted to trading		ISA31
5th Rule	Ownership notification service at the request of the issuer		
5.1	Final beneficiary owner data request		ISA15
5.2	Final beneficiary owner data request MAB		ISA32
5.3	Final beneficiary owner data request Obligations/Bonds		ISA33
5.4	Final beneficiary owner data request Promissory notes		ISA37
5.5	Beneficial owners identification		ISA40
5.6	Beneficial owners identification BME MTF Equity		ISA41
5.7	Punctual beneficial owner identification		ISA42
5.8	Processing of punctual identification request		ISA43
6th Rule	Management of corporate actions		
6.1	Split		ISC06
6.2	Pari passu		ISC07
6.3	Capital increase with subscription rights		ISC09
6.3	Capital increase without subscription rights		ISC17

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6.4	Public offering on registered shares	ISA12
6.5	Redemption by draw	ISC25
6.7	Late communication, amendment or cancellation of corporate action	ISA10
6.7	Reversal of corporate action	ISA11
6.8	Corporate actions managed through transfers between securities accounts - Equities	ISA16
6.8	Corporate actions managed through transfers between securities accounts - Debt	ISA34
6.9	Issuance of strippable securities	ISC26
6.10	Failure in communication of corporate action information	ISA29
RULES Circular 03/2017		ISSUER FEES
6.11	Communication of wrong information	ISA35
6.12	Failure of cash or securities on payment date	ISA36
6.13	Ballots creation	ISA45
6.14	Beneficial owners voting report	ISA46
7th Rule	Other service	
7.1	Issuance of certificates	ISA19
7.2	Subscription to the billing file	ISA20
7.3	Listing and delisting from IBERCLEAR	ISC38
8th Rule	Other fees	
8.1	Assessment on operations	ISA27
8.1	Assessment on operations - Per hour	ISA28
8.2	Other services	ISA18

RULES Circular 03/2017		RECOVERY OF T2S COSTS	CODE
13th Rule Participants and 9th Rule Issuers	Settlement services		
13 y 9	Delivery versus payment		T2SSE1
13 y 9	Free of payment/payment free of delivery		T2SSE2
13 y 9	Matching		T2SSE3
13 y 9	Intra-position securities movement		T2SSE4
13 y 9	Auto-collateralisation with payment bank		T2SSE5
13 y 9	Recycling		T2SSE6

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13 y 9	Daytime settlement	T2SSE7
13th Rule Participants and 9th Rule Issuers Information services		
13 y 9	A2A reports	T2SCO1
13 y 9	A2A queries	T2SCO2
13 y 9	U2A queries	T2SCO3
13 y 9	Message bundled into a file	T2SCO4
13 y 9	T2S transmission	T2SCO5

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