DOCUMENT VERSIONS

Version	Pages modified / Observations
03/09/2020	First version of the document
07/06/2021	Second version of the document: Field 7 is added to give the intermediary the option to identify himself through LEI and the content of field 6 is amended.

Section	Title
Identification of shareholders and beneficiaries	Cancellation of disclosure with information on shareholders and beneficial owners

FORMAT seev.048.001.01

General description

It is a cancellation of the disclosure with information on shareholders and/or beneficial owners of a security.

Origin/Destination

Issuer: IBERCLEAR

Recipient: Participants, CSDs, intermediaries.

Issuer: Participants, CSDs, intermediaries.

Recipient: IBERCLEAR

Main related messages

Each seev.048 message cancels a previous seev.047 disclosure that answered a request.

Relationship with Owner messages

It has an equivalent in HTITU12 to cancel a previous HTITU12.

Format structure

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		0	**<ShrhldrIdDsclsrRspnCxlAdvc>**	Shareholder Identification Disclosure Response Cancellation Advice V01
1	[1..1]		1	**<DsclsrRspnId>**	Disclosure Response Identification
	[1..1]		1	**<IssrDsclsrReqRef>**	Issuer Disclosure Request Reference
2	[1..1]		2	_<IssrDsclsrReqId>	Issuer Disclosure Request Identification
	[1..1]		2	_<FinInstrmId>	Financial Instrument Identification
3	[1..1]		3	__<ISIN>	ISIN
	[1..1]		2	_<ShrhldrsDsclsrRcrdDt>	Shareholders Disclosure Record Date
	[1..1]		3	__<Dt>	Date
4	[1..1]		4	___<Dt>	Date
	[1..1]		1	**<RspndgIntrmy>**	Responding Intermediary
5	[1..1]		2	_<Nm>	Name
	[1..1]		2	_<Id>	Identification
6	[1..1]	{Or	3	__<AnyBIC>	Any BIC
7	[1..1]	Or}	3	__<LEI>	LEI

Clarification of fields

1 Name: Disclosure Response Identification
   Format: Alphanumeric up to 35 positions.
   Content: Unique answer reference with disclosure seev.047 to cancel.

2 Name: Issuer Disclosure Request Identification
   Format: Alphanumeric up to 35 positions.
   Content: Official and unique reference assigned to the request for disclosure of the holders.

3 Name   ISIN
   Format: 12 alphanumeric positions. Coding ISO 6166 [AZ] {2,2} [A-Z0-9] {9.9} [0-9] {1,1}.
   Content: Value code for which ownership identification is requested.

4 Name   Shareholders Disclosure Record Date
   Format: ISODate: YYYY-MM-DD.
   Content: Record date for which the identification of ownership of the positions is requested.

5 Name   Name of Responding Intermediary
   Format: Alphanumeric up to 350 positions.
   Content: Name of the intermediary that prepares the cancellation of the response of
               seev.047.

6 Name   BIC of Responding Intermediary
   Format: 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.
   Content: BIC code of the Intermediary that prepares the seev.048 cancelation. For participant
               entities of IBERLEAR, a BIC identifier must be informed.

7 Name   LEI of Responding Intermediary
   Format: 20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.
   Content: LEI code of the Intermediary that prepares the seev.048 cancelation.

DOCUMENT VERSIONS

Version	Pages modified / Observations
03/09/2020	First version of the document subject to change
07/06/2021	Second version of the document: Field 7 is added to give the intermediary the option to identify himself through LEI and the content of field 6 is amended.

Section	Title
Identification of shareholders and beneficial owners	Disclosure communication statements with shareholder and beneficial owners information

FORMAT seev.049.001.01

General description

It is a communication with the state of the different disclosures with information on shareholders and/or beneficial owners of a security.

Origin/Destination

Issuer: IBERCLEAR

Receiver: Participants, CSD

Main related messages

Each seev.049 message communicates a state of previous seev.047 disclosures answering a request.

Relationship with Owner messages

It has an equivalent in HTITU12 for those who decide to receive a proprietary format.

Format structure

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		0	**<ShrhldrIdDsclsrRspnStsAdvc>**	Shareholder Identification Disclosure Response Status Advice V01
1	[1..1]		1	**<DsclsrRspnId>**	Disclosure Response Identification
	[1..1]		1	**<IssrDsclsrReqRef>**	Issuer Disclosure Request Reference
2	[1..1]		2	_<IssrDsclsrReqId>	Issuer Disclosure Request Identification
	[1..1]		2	_<FinInstrmId>	Financial Instrument Identification
3	[1..1]		3	__<ISIN>	ISIN
	[1..1]		2	_<ShrhldrsDsclsrRcrdDt>	Shareholders Disclosure Record Date
	[1..1]		3	__<Dt>	Date
4	[1..1]		4	___<Dt>	Date
	[1..1]		1	**<RspndgIntrmy>**	Responding Intermediary
5	[1..1]		2	_<Nm>	Name
	[1..1]		2	_<Id>	Identification
6	[1..1]	{Or	3	__<AnyBIC>	Any BIC
7	[1..1]	Or}	3	__<LEI>	LEI
	[1..1]		1	**<RspnRcptnSts>**	Response Reception Status
	[1..1]	{Or	2	_<Accptd>	Accepted
8	[1..1]		3	__<NoSpcfdRsn>	No Specified reason
	[1..1]	Or}	2	_<Rjctd>	Rejected
9	[1..1]	{Or	3	__<NoSpcfdRsn>	No Specified reason
	[1..*]	Or}	3	__<Rsn>	Reason
	[1..1]		4	___<RsnCd>	ReasonCode
10	[1..1]	{Or	5	____<Cd>	Code
	[1..1]	Or}	5	____<Prtry>	Proprietary
11	[1..1]		6	_____<Id>	Identification
12	[1..1]		6	_____<Issr>	Issuer
13	[0..1]		5	_____<AddtlRsnInf >	Additional Reason Information

Clarification of fields

1 Name: Disclosure Response Identification
Format: Alphanumeric up to 35 positions.
Content: Unique reference of the response of seev.047 to report its status.

2 Name: Issuer Disclosure Request Identification
Format: Alphanumeric up to 35 positions.
Content: Official and unique reference assigned to the request for disclosure of the holders.

3 Name ISIN
Format: 12 alphanumeric positions. Coding ISO 6166 [AZ] {2,2} [A-Z0-9] {9.9} [0-9] {1,1}.
Content: Value code for which ownership identification is requested.

4 Name Shareholders Disclosure Record Date
Format: ISODate: YYYY-MM-DD.
Content: Record date for which the identification of ownership of the positions is requested.

5 Name Name of Responding Intermediary
Format: Alphanumeric up to 350 positions.
Content: Name of the broker that prepares the response from seev.047 and that receives the status communication.

6 Name BIC of Responding Intermediary
Format: 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.
Content: BIC code of Intermediary that prepares the response from seev.047 and that receives the status communication. For participant entities of IBERCLEAR, a BIC Code must be informed.

7 Name LEI of Responding Intermediary
Format: 20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.
Content: LEI code of Intermediary that prepares the response from seev.047 and that receives the status communication.

8 Name Accepted status
Format: Alphanumeric with 4 positions.
Content: Supported value:
NORE. No reason.

9 Name Rejected status without Specified Reason Code
Format: Alphanumeric with 4 positions.
Content: Supported value:
NORE. No reason.

10 Name Rejected status with Reason Code
Format: Alphanumeric with 4 positions.
Content: Supported values:
IDRI. Invalid Disclosure Request Identification.

IDRJ. Invalid Disclosure Response Identification.
SVNO. Service Not Offered.

11	Name	Proprietary Identification of Reason Code
	Format:	Alphanumeric with 4 positions.
	Content:	**CERR**. Error in some disclosures (Iberclear error codes).
		CERS. Error in the Safekeeping Account with position (Iberclear error codes).
		CERF. Global error in the disclosure response (Iberclear error codes).

12	Name	Proprietary Issuer of Reason Code
	Format:	Alphanumeric up to 35 positions.
	Content:	Entity that assigns the above identification. BIC Code of IBERCLEAR.

13	Name	Additional Reason Information
	Format:	Alphanumeric up to 350 positions.
	Content:	Additional information about the reason for the rejection.

It will be a field of 350 positions, where the first 46 positions will have the following structure:

When the Proprietary Identification of Reason Code (field 10) = 'CERR':

Position 01-10: Iberclear error code.
Position 11-11: Information separator a hyphen "-".
Position 12-46: Safekeeping Account where the position is deposited (SAC or sub-account) and reported in rejected seev.047 that has the error.
Position 47-47: Information separator a hyphen "-".
Position 48-97: Holder identification.

When the Proprietary Identification of Reason Code (field 10) = 'CERS'

Position 01-10: Iberclear error code.
Position 11-11: Information separator a hyphen "-".
Position 12-46: Securities Account with position reported in seev.047 that has the error.

When the Proprietary Identification of Reason Code (field 10) = 'CERF'

Position 01-10: Iberclear error code.
Position 11-11: Information separator a hyphen "-".
Position 12-46: Issuer Disclosure Request Identification reported in seev.047 that has the error.
Position 47-47: Information separator a hyphen "-".
Position 48-82: Disclosure response Identification reported in seev.047 that has the error.